



MEMC 2987 (00-2950)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Ng, et al.

Serial No. 09/970,404

Filed October 3, 2001

Confirmation No. 9398

For APPARATUS AND PROCESS FOR PRODUCING POLISHED  
SEMICONDUCTOR WAFERS

January 3, 2002

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit copies of the references listed on

\* the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

Respectfully submitted,

Kurt F. James, Reg. No. 33,716  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

KFJ/dss

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## Complete if Known

Application Number 09/970,404

Filing Date October 3, 2001

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First Named Inventor Ng, et al.

Group Art Unit 2812

Examiner Name

Sheet

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of

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Attorney Docket No.

MEMC 2987 (00-2950)

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	5,720,849		Yokosuka et al.	02/24/1998
	2	5,849,139		Miyakawa et al.	12/15/1998

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
	3	EP	0 810 635 A1		Harris, et al.	12/03/1997	
	4	EP	0 868 977 A2		Hirooka	10/07/1998	
	5	JP	10-029156-A (Abstract)			02/03/1998	
	6	JP	10-275852-A (Abstract)		Hirooka	10/13/1998	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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